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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

SUPPL	EMENTA	MFOR	MATION
DISCL	OSURES	TATEME	NT

Docket Number: 11245/46604

Application Number 09/840,146

Filing Date
April 24, 2001

Examiner
Holleran, A.L.

Art Unit **1642**

Invention Title

TREATMENT OF REFRACTORY HUMAN TUMORS WITH EPIDERMAL GROWTH FACTOR RECEPTOR ANTAGONISTS Inventor(s)
Harlan W. WAKSAL

Address to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: October 1, 2003

By: Kathryn M. Lunth (Reg. No. 46.88

- 1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97(c) and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the references listed on the attached modified PTO Form No. 1449 to the attention of the Examiner. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
- 2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
- 3. The filing of this Information Disclosure Statement and the attached PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
- 4. The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.17(p) (submission of an information disclosure statement under § 1.97(c)) fee of \$180.00 to the deposit account of Kenyon & Kenyon, deposit account number 11-0600. The Commissioner is also authorized to charge any additional fees or credit any overpayment in connection with this paper to Deposit Account No. 11-0600.

Dated: October 1, 2003

Bv.

Kathryn M. Lymb (Reg. No. 46,885)

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SUPPLEM STEADS INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449

ATTY. DOCKET NO. 11245/46604	SERIAL NO. 09/840,146
APPLICANT WAKSAL et al.	
FILING DATE April 24, 2001	GROUP 1642

U. S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,616,582	April 1, 1997	Barker			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANS	TRANSLATION	
INTIALS	NOWIDER	DAIL	COOMIN	CLASS		YES	NO	

OTHER DOCUMENTS

EXAMINER'S INITIALS	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Moyer et al., "Induction of Apoptosis and Cell Cycle Arrest by CP-358,774, in an Inhibitor of Epidermal Growth Factor Receptor Tyrosine Kinase" Cancer Res., Vol. 57, No. 21, November 1, 1997, pp. 4838-4848
	Pollack et al., "Inhibition of Epidermal Growth Factor Receptor-Associated Tyrosine Phosphorylation in Human Carcinomas with CP-358, 774: Dynamics of Receptor Inhibition in Situ and Antitumor Effects in Athymic Mice ¹ ", J. Pharmacol., Vol. 291, No. 2, November 1999, pp 739-748
	Rowinsky, et al., "Dose-Schedule-Finding, Pharmacokinetic (PK), Biologic, and Functional Imaging Studies of OSI-774, a Selective Epidermal Growth Factor Receptor (EGFR) Tyrosine Kinase (TK) Inhibitor", Abstract No. 5 (Presented at the 37th Annual Meeting of ASCO), San Francisco, CA, 12-15 May 2001
	Anido et al., "Inhibition by ZD1839 (Iressa) of Epidermal Growth Factor (EGF) and Heregulin Induced Signaling Pathways in Human Breast Cancer Cells", Abstract No. 1712 (Presented at the 37th Annual Meeting of ASCO), San Francisco, CA 12-15 May 2001
	Hidalgo et al., "Inhibition of the Epidermal Growth Factor Receptor (EGFR) by OSI-774, a Specific EGFR Inhibitor in Malignant and Normal Tissues of Cancer Patients", Abstract No. 281 (Presented at the 37the Annual Meeting of ASCO), San Francisco, CA, 12-15 May 2001

EXAMINER	DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			